

[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *						2. Issuer Name and Ticker or Trading Symbol							5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
MCGIMPSEY THOMAS O						ADVANCED ENERGY INDUSTRIES INC [ AEIS ]							<b>S</b>	Director 10% Owner				
(Last)	(First)	rst) (Middle)				3. Date of Earliest Transaction (MM/DD/YYYY)								_X_ Officer (give title below) Other (specify below)  EVP & Gen Counsel				
1595 WYNKOOP STREET, SUITE 800						6/3/2020												
(Street)				4. ]	4. If Amendment, Date Original Filed (MM/DD/YYYY)							(Y) 6. Individ	6. Individual or Joint/Group Filing (Check Applicable Line)					
DENVER, CO 80202 (City) (State) (Zip)													X_Form filed by One Reporting Person Form filed by More than One Reporting Person					
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																		
1.Title of Security (Instr. 3)						3. Trans. Co (Instr. 8)	de V	or Disp	rities Acquiosed of (D) 8, 4 and 5)  (A) or t (D)		Following Rep (Instr. 3 and 4)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) Instr. 3 and 4)				7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock 6/3/2020				20			S		5251 <u>(1</u>	<b>D</b>	\$70.0	00	47373			D		
Common Stock 6/5/2020				20			S		4749 <u>(1</u>	<u>D</u>	\$75.0	00	42624 <sup>(2)</sup>			D		
	Tab	le II - Dei	rivativ	e Secui	ities	Bene	eficially	Owned (	e.g.,	, puts,	calls, wa	rran	ts, options, co	nvei	tible sec	urities)		
1. Title of Derivate Security (Instr. 3)	Conversion or Exercise Price of Derivative	Date Ex	3A. Dee Execution Date, if	ution (Inst		Acqui Dispos			6. Date Exercisable and Expiration Date			Secur Deriv	le and Amount of ities Underlying ative Security . 3 and 4)	Jnderlying Derivative Security Security		Securities Beneficially Owned	Ownership Form of Derivative Security:	Beneficial
	Security				Code	V	(A)	(D)	Date	e rcisable	Expiration Date	Title	Amount or Numb Shares	er of		Following Reported Transaction(s) (Instr. 4)	Direct (D) or Indirect (I) (Instr. 4)	

### **Explanation of Responses:**

- (1) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 plan adopted by the reporting person February 20, 2020.
- (2) Represents 11,660 shares of unvested restricted stock units and 30,964 shares of common stock.

#### Reporting Owners

- P							
Reporting Owner Name / Address	Relationships						
Reporting Owner Name / Address	Director	r 10% Owner Officer		Other			
MCGIMPSEY THOMAS O							
1595 WYNKOOP STREET, SUITE 800			EVP & Gen Counsel				
DENVER, CO 80202							

### **Signatures**

/s/ Thomas O. McGimpsey	6/5/2020
**Signature of Reporting Person	Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.